



SUPPLIER SURVEY AND QUALITY AGREEMENT

Supplier Name:			
Address:			
City	State	Zip Code	
Web Site:	Years in Business:	Cage Code:	
Contact Name:	Title:		
Telephone:	Fax:		
E-mail:	Subsidiary or Division of:		
Company Locations Covered by this questionnaire: (company name, city, & state)			
Number of Employees:	Total:	Manufacturing:	QA/QC:
Number of Buildings:	Facility Size (sq. ft.):		
Supplier Classification: (please mark all that apply)			
Distributor	<input type="checkbox"/>	Machine Shop	<input type="checkbox"/>
Service	<input type="checkbox"/>	Processor (plating/coating etc.)	<input type="checkbox"/>
Raw Materials (metal, plastics, etc.)	<input type="checkbox"/>	Other:	<input type="checkbox"/>

GENERAL INFORMATION

1.	Name or type of product manufactured, processed, distributed or service provided:		
2.	List Certifications, Approvals, or Qualifications: (If yes to any of these items, please include copies of certificates)	YES	NO
	ISO 9001:2015	<input type="checkbox"/>	<input type="checkbox"/>
	AS9100	<input type="checkbox"/>	<input type="checkbox"/>
	Nadcap	<input type="checkbox"/>	<input type="checkbox"/>
	ITAR Registration	<input type="checkbox"/>	<input type="checkbox"/>
	C-PTAT/SV1# (Or equivalent)	<input type="checkbox"/>	<input type="checkbox"/>
	DOD-STD-1686	<input type="checkbox"/>	<input type="checkbox"/>
	Other: (please list below)	<input type="checkbox"/>	<input type="checkbox"/>
IF CURRENT NADCAP, ISO OR AS9100 REGISTRATION CERTIFIED – STOP HERE. PLEASE REVIEW TERMS, SIGN PAGE 9, SEND PAGES 1 AND 9 WITH A COPY OF YOUR CERT(S). OTHERWISE, PLEASE COMPLETE AND RETURN THE ENTIRE SURVEY.			



GENERAL INFORMATION (cont.)		YES	NO
4.	Do you have a documented Quality System? (Please Include a copy of Quality Manual Index)	<input type="checkbox"/>	<input type="checkbox"/>
	Manual Title:		
	Revision Level: Revision Date:		
	Is an organizational chart available? (If yes, please include a copy)	<input type="checkbox"/>	<input type="checkbox"/>
5.	Are raw materials traceable to the manufacturer?	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/> By lot number? <input type="checkbox"/> By serial number?		
	<input type="checkbox"/> By batch number? <input type="checkbox"/> By heat lot number?		
	Other:		
6.	Are material certifications or test reports furnished by the manufacturer and maintained on file by your company?	<input type="checkbox"/>	<input type="checkbox"/>
7.	When your supplier furnishes material certifications or test reports, how do you assure their traceability to the product that you ship?		

DISTRIBUTOR INFORMATION		YES	NO
1.	List the type of products for which you are a distributor:		
2.	Can you provide evidence of being an authorized distributor for a particular manufacturer? (If yes, please provide evidence)	<input type="checkbox"/>	<input type="checkbox"/>
3.	List manufacturers for whom you are an <u>authorized</u> distributor: List type of products for which you are a distributor:		
4.	Are material certifications supplied by your company provided by or traceable to the part manufacturer?	<input type="checkbox"/>	<input type="checkbox"/>
5.	What type of certification do you provide to DPI Labs Inc.		
6.	Does your company distribute to Military or Government Agencies? (If yes, please list below)	<input type="checkbox"/>	<input type="checkbox"/>



MANUFACTURER / PROCESSOR / SUBCONTRACTOR REPAIR AGENT/ SERVICE PROVIDER		YES	NO
	Does your company Quality System address:		
1.	Documented process for controlling documents?	<input type="checkbox"/>	<input type="checkbox"/>
2.	Documented process for controlling records?	<input type="checkbox"/>	<input type="checkbox"/>
3.	Requirements for personnel training?	<input type="checkbox"/>	<input type="checkbox"/>
4.	Planning for the product realization?	<input type="checkbox"/>	<input type="checkbox"/>
5.	Contract review?	<input type="checkbox"/>	<input type="checkbox"/>
6.	Design control? (if applicable)	<input type="checkbox"/>	<input type="checkbox"/>
7.	Purchasing procedures including supplier control and evaluation?	<input type="checkbox"/>	<input type="checkbox"/>
8.	Control of production and service using shop travelers, process planning, or work orders that outlines production inspection and testing?	<input type="checkbox"/>	<input type="checkbox"/>
9.	Methods for maintaining identification and traceability?	<input type="checkbox"/>	<input type="checkbox"/>
10.	Methods for the use and control of inspection stamps?	<input type="checkbox"/>	<input type="checkbox"/>
11.	Methods for maintaining control of material from receipt through incorporation into the product?	<input type="checkbox"/>	<input type="checkbox"/>
12.	Methods for controlling customer property?	<input type="checkbox"/>	<input type="checkbox"/>
13.	Control of identification, handling, storage, packaging, preservation and delivery of product?	<input type="checkbox"/>	<input type="checkbox"/>
14.	Measuring and test equipment calibration system with traceability to NIST?	<input type="checkbox"/>	<input type="checkbox"/>
15.	Internal audit program?	<input type="checkbox"/>	<input type="checkbox"/>
16.	Monitoring and measurement of special processes?	<input type="checkbox"/>	<input type="checkbox"/>
17.	Check special processes performed by your company: <input type="checkbox"/> Heat treat <input type="checkbox"/> Plating <input type="checkbox"/> Welding <input type="checkbox"/> Soldering <input type="checkbox"/> Anodize <input type="checkbox"/> Brazing <input type="checkbox"/> NDT (UT, PT, RT, MT, ET) <input type="checkbox"/> Other:		
18.	Monitoring and measurement of product?	<input type="checkbox"/>	<input type="checkbox"/>
19.	Documented system controlling nonconforming material including material review? Scrap control?	<input type="checkbox"/>	<input type="checkbox"/>
20.	Documented corrective action system?	<input type="checkbox"/>	<input type="checkbox"/>
21.	Documented preventive action system?	<input type="checkbox"/>	<input type="checkbox"/>



CAL-AURUM INDUSTRIES SUPPLIER QUALITY AGREEMENT

Suppliers of products, manufacturing, processes and services for Cal-Aurum Industries must agree to comply with the following quality requirements. These requirements are a minimum and may be added to by annotation on a purchase order.

Suppliers shall:

Maintain and implement a Quality System that includes a Quality Manual and covers the following subject areas:

Control of Documents and Data - The supplier must maintain effective documented procedures that ensure documents and data affecting product quality are controlled including:

- Documents are reviewed and approved prior to issue and when updated are reapproved.
- All documents and data supplied by CAI or it's customers is considered intellectual property and therefore confidential. At no time are they to be reviewed, discussed, or disclosed to parties outside your organization except as necessary to fulfill the contract.
- Documents are available at all locations where operations essential to the quality system are performed.
- Documents remain legible and readily identifiable.
- Documents of external origin are identified and controlled
- Control and index documents and data to prevent use of invalid or obsolete documents and identify obsolete documents that are maintained for legal or historical purposes.

Control of Records - The supplier must maintain effective procedures for identification, collecting, indexing, accessing, filing, storage, maintenance and disposition of quality records. All records related to the product will be maintained for at least 10 years unless special records retention is specifically noted on the PO.

Resources - The supplier is responsible to provide the necessary resources to implement and maintain a quality management system and continually improves its effectiveness.

Training - The supplier must determine the requirements for personnel performing work affecting product quality and provide for training or other actions if needed. In addition, ensure that personnel are aware of the relevance and importance of their activities and how they contribute to achieving the quality objectives and their interactions with your QMS.

Infrastructure – Suppliers must provide and maintain the infrastructure needed to achieve conformity to product requirements including a secure and safe workspace and associated utilities, process equipment and supporting services.

Planning – Suppliers must plan and develop the processes needed for product realization. Planning requirements for the product should include processes, documents, resources, verification, validation, monitoring, inspection and test activities and the criteria for product acceptance.

Determining and reviewing requirements related to the product - These are activities that are carried out by the supplier before signing the contract to ensure that the customer requirements for quality are adequately defined, free from ambiguity, documented and can be realized by the supplier.

Design Control - When necessary, the supplier must have in place procedures that establish and maintain effective control including verification and validation of the design of a product in order to meet specific requirements required by a customer including design changes. When required, provide test specimens as part of design approval.



**CAL-AURUM INDUSTRIES
SUPPLIER QUALITY AGREEMENT
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Purchasing - The supplier must ensure that purchased products conform to specified requirements. The process includes the selection, evaluation and re-evaluation of their suppliers and supplier (external provider and customer designated) performance. Purchasing information must include requirements for:

- Approval of product, procedures, processes and equipment
- Qualification of personnel
- Quality management system
- Flow-down of all requirements including those of the customer to external providers

Control of Production and Service - The supplier must plan for and carry out production and service in controlled conditions that includes the availability of information describing the product, work instructions, process requirements including special processes, technical data, drawings, specifications, equipment, monitoring and measuring (Including limited life/shelf life materials), and release delivery activities.

Validation of processes (including special processes) – When applicable, the supplier will validate processes including those where the end result cannot be verified by measuring and monitoring.

Validation will include as applicable:

- Defined criteria for process review and approval
- Approval / validation of equipment
- Qualification of personnel
- Defined methods and procedures including testing or inspecting.
- Special requirements, critical items, statistical techniques or key characteristics
- Required records
- Revalidation, if required

Identification and traceability - The supplier must maintain an effective method for identifying a product and the status of the product from the raw material to the installation phase as applicable to the product stages for which the supplier is responsible.

Customer property- The supplier must maintain an effective method to identify, verify, protect and safeguard customer property. Customer property that is lost, damaged or otherwise found unsuitable is reported to the customer. Customer property, including any materials, parts, drawings, and/or data supplied by CAI or it's customers must be considered intellectual property and therefore confidential. At no time are they to be reviewed, discussed, or disclosed to parties outside your organization except as necessary to fulfill the contract.

Preservation of the product - The supplier must maintain effective methods to control the identification, handling, storage, packaging, preservation and delivery of a product. Limited life materials must be supplied with a **minimum 6-month shelf life**.

Control of measuring and monitoring devices - The supplier must maintain effective methods to control, calibrate, and maintain inspection, measuring, and test equipment used by the supplier to verify conformance of the product to quality requirements.

Internal audits - The supplier must maintain effective documented procedures for the planning and implementing of internal audits to verify that the quality system is effective and complying with the Quality Manual.

Monitoring and measurement of processes – The supplier must apply suitable methods for monitoring and measuring quality management processes. Methods demonstrate that the processes achieve planned results.



**CAL-AURUM INDUSTRIES
SUPPLIER QUALITY AGREEMENT
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Monitoring and measurement of product – The supplier must maintain effective methods for inspection and testing activities that verify quality requirements of a product are met and documented. These methods / activities must cover receiving inspection, in-process inspections, final inspections, and documentation. The supplier must be able to identify the inspection and test status of product by a suitable means at the different stages of production.

Control of nonconforming product - The supplier must maintain an effective documented procedure to ensure that non-conforming product is prevented from unintended use or installation.

Corrective and preventative actions - The supplier must maintain effective procedures that provide for corrective and preventative actions to improve the product and prevent nonconformities.

In addition to maintaining an approved quality system, the supplier also agrees to the following:

- Answer in an expeditious manner all audit findings presented to the supplier. Finding replies will address the nonconformity and action taken to prevent its recurrence in the future.
- Notify Cal-Aurum Industries Quality Assurance and/or Material Department of any changes in the company or quality organizational structure or plan and of any events or situations that would affect the quality of the provided product or service.
- Perform and document First Article Inspection when necessary. Copies of documented First Article Inspections will be provided to Cal-Aurum Industries Quality Control Receiving Inspection department with the first shipment of products. Test specimens may be required for inspection/verification, investigation, or auditing
- When necessary, provide a Certificate of Conformity to a Cal-Aurum Industries drawing or specification. All supplied chemicals must be supplied with expiration dates with a minimum 6-month shelf life.
- **The supplier agrees to provide access and assistance to representatives of Cal-Aurum Industries, their customers, FAA or other regulatory agency when visits, verification activities, audits, and product audits/inspections are required at the supplier's facility.**
- The supplier agrees to notify Cal-Aurum Industries management of all product design changes affecting the form, fit or function of any product, part, process or service sold to Cal-Aurum Industries including process changes, location of manufacture, or external providers. Company approval may be required.
- **Written Cal-Aurum Industries approval is needed when delivering any items that are suspect or nonconforming. A copy of the written approval must be included with any such delivery.**
- The supplier agrees to notify Cal-Aurum Industries Purchasing and Quality Departments when nonconforming material, parts, product or processes are detected, including after delivery. The notification shall include delivered nonconforming parts, product or processes that may affect security, reliability or safety. In addition the notification shall include a clear description of the nonconformity, the part number/s affected, quantities, P.O. number references and dates delivered.
- **Counterfeit Materials: Special attention and assistance is required when materials may be counterfeit. Additional steps above and beyond normal nonconforming materials are required to prevent suspect materials from returning into the supply stream.**
- Ensure that suppliers and contractors are aware of their contributions to product or service safety and conformity, as well as the importance of ethical behavior. REACH, RoHS, and CMRT requirements may be flowed down separately as they may apply.



**CAL-AURUM INDUSTRIES
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Foreign Object Damage (FOD) Control

Supplier shall ensure that Foreign Objects and subsequent Foreign Object Damage (FOD) is eliminated from all materials prior to shipment. All suppliers must maintain a FOD free environment during machining, manufacturing, assembly, maintenance, inspection, storage, packaging and shipping.

Potential FOD includes but is not limited to:

- Burrs, chips, dirt, corrosion and contamination resulting from the manufacturing, assembly, maintenance, processing, cleaning, storage and subsequent packaging of parts.
- Supplier must ensure all manufactured surfaces and IDs are clear of chips, core material, dirt, etc.
- Prior to closing inaccessible or obscured areas and compartments during assembly, Supplier shall ensure the areas are free of FOD.

Supplier is required to maintain a FOD prevention program and is encouraged to see the National Aerospace Standard NAS412 "Foreign Object Damage (FOD) Prevention Guidance Document". This standard practice includes prevention and elimination of FOD from the manufacturing processes and work areas, with specific attention given to:

- Housekeeping and cleanliness (Clean as you go)
- Food and beverage control
- Tool and small part accountability
- Loose objects
- Material handling and parts protection
- External cleaning following evidence of external contamination

Prior to closing inaccessible or obscured areas and compartments during assembly, Supplier shall ensure the areas are free of FOD.

Supplier shall ensure that the responsibility for the FOD prevention program is clearly defined and appropriate personnel have received FOD awareness training. Supplier is responsible for flow down of these requirements to their sub-tier suppliers to ensure FOD free products.



**CAL-AURUM INDUSTRIES
SUPPLIER QUALITY AGREEMENT
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Manufacturing and/or Raw Material Suppliers

Suppliers that provide manufactured or raw materials (Including wire, Kovar ribbon, etc.) are required to meet the following additional requirements flowed-down from CAI and it's customers.

Shipping Documentation, Including:

- Packing slip with Suppliers name and address
- CAI's PO number, line number if applicable, and qty
- Item number or part number assigned to the material ordered
- Lot number or code allowing traceability to the original date of manufacture
- Date of shipment
- Any supporting test reports, inspection data, or other items required by PO.
- Any raw material certifications of materials used in manufacturing the items delivered
- Any deviations or waivers or nonconformance documentation (as applicable)
- Certificate of conformance that lists all drawings, specifications and standards as required that the materials conform to.
- Signature, title, and date signed by authorized supplier representative attesting to the C of C accuracy



TO BE SIGNED BY AN AUTHORIZED COMPANY REPRESENTATIVE

Please review our code of ethics on our website: <https://goo.gl/VxpjjT>
These Terms and Conditions are also available: <https://goo.gl/Kq8RcW>
I certify that the information supplied in this survey is true and complete and that our company can comply with the requirements as outlined above. In addition, these requirements are flowed down to all suppliers used to fulfill contract requirements.

Representative Name:	
Title:	
Signature:	Date:

RETURN COMPLETED DOCUMENT TO:

Street Address: 15632 Container Lane
Huntington Beach, CA 92649
OR **ATTN: Quality Department**

E-Mail: **TO: QC@Cal-Aurum.com**
Cc: Purchasing@Cal-Aurum.com

TO BE COMPLETED BY CAL-AURUM INDUSTRIES QUALITY ASSURANCE DEPARTMENT

<input type="checkbox"/> APPROVED	The necessary procedures and controls are in place to ensure the control of product, process quality, and / or measuring and test equipment calibration accuracy.
<input type="checkbox"/> LIMITED APPROVAL	Approval only for specific items, parts, processes and / or services as listed.
<input type="checkbox"/> DISAPPROVED	The noted deficiencies are major.
QA SIGNATURE:	DATE: